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PATENT Attorney Docket No. 0320-0015 HOOV 115

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

& TRADE	
In Re Application of:	CERTIFICATE OF MAIL INC BY "EYRRECS
Michael D. Hooven	) CERTIFICATE OF MAILING BY "EXPRESS MAIL"  "Express Mail" Mailing Label No.:
Serial No.: 10/015,346	EV 459449625 US
Seliai No 10/015,546	Date of Deposit September 29, 2004
Filed: December 12, 2001	l hereby certify that this paper or fee is being deposited with the United States Postal Service
Group Art No.: 3739	"Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Amendment, Commissioner
Examiner: Rosiland S. Rollins	for Patents, P.O. Box 1450, Alexandria, VA 22313- 1450
For: TRANSMURAL ABLATION DEVICE	) NAME <u>Kathleen Johnson</u>
WITH CURVED JAWS	SIGNATURE HALLON JOHN DON

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Certificate of Mailing Under 37 CFR 1.10

I hereby certify that the correspondence listed below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, Label No. EV 459449625 US under 37 CFR 1.10 on September 29, 2004 and is addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

- Transmittal Form PTO/SB/21 (1 sheet);
- 2. Information Disclosure Statement (3 sheets), in duplicate;
- 3. PTO/SB/08A (1 sheet);
- 4. U.S. Patent Publications (3);
- 5. Certificate of Mailing (1 sheet); and
- 6. Return Receipt Postcard.

Name: <u>Kat</u>	chleen Johnson
Signature:	Mathlma Ungan

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
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o a collection of information unless it displays a valid OMB control number. Paperwork Reduction Act of 1995, no persons are required to respond to Application Number 10/015,346 Filing Date TRANSMITTAL December 12, 2001 **FORM** First Named Inventor Michael D. Hooven Art Unit 3739 (to be used for all correspondence after initial filing) **Examiner Name** Rosiland S. Rollins Attorney Docket Number 0320-0015 (HOOV 115)

Total Number of Pages in This Submission

			EN	CLOSURES (Check	all that apply	()		
	Fee Transmittal Fo	m		Drawing(s)		11 1	After Allowato Group	ance communication
	Fee Attach  Amendment/Reply  After Final  Affidavits/d  Extension of Time  Express Abandonn  Information Disclost  Certified Copy of F  Document(s)  Response to Missi Incomplete Application	declaration(s) Request ment Request sure Statement Priority ing Parts/	Rem	Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revoc Change of Correspondent Terminal Disclaimer Request for Refund CD, Number of CD(s)  arks  By U.S. Postal Se Label No. EV 459	ervice Expre	X - PTO/ - 3 Pat - Certif	Appeal Cor of Appeals Appeal Not (Appeal Not Proprietary Status Lett Other Encle Identify bel SB/08A ( ent Publicate of Notes	osure(s) (please ow): 1 sheet) cations
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Date	Date September 29, 2004							
	CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as that Plats Sail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								
Typed	Typed or printed name  Kathleen Johnson							
Signat	Signature Katheen Bhnoon Date September 29, 2004					September 29, 2004		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Do Application of.	
In Re Application of: )	CERTIFICATE OF MAILING BY "EXPRESS
Michael D. Hooven	MAIL" "Express Mail" Mailing Label No.:
Serial No.: 10/015,346 )	EV459449625US Date of Deposit: September 29, 2004
Filed: December 12, 2001	I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service
Group Art No.: 3739 )	under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-
Examiner: Rosiland S. Rollins	NAME Kathleen Johnson
For: TRANSMURAL ABLATION DEVICE ) WITH CURVED JAWS )	SIGNATURE HALLIER JOHNSON

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.97, Applicant hereby calls the Examiner's attention to the documents listed on the attached form, which documents may be material to the examination of this application. Copies of the documents are enclosed herewith for the Examiner's consideration.

No inference should be drawn that any apparatus or method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed document is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of the document herein is not to be construed as an admission that any subject matter disclosed in the document

is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of the document discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Information Disclosure Statement is being filed after the mailing date of a first Office Action on the merits but before a final action or an action that closes prosecution. The first reference cited was first called to Applicant's attention in an Office Action dated September 8, 2004 in related Application Number 10/328,057 filed on December 23, 2002. The second and third cited references were first called to Applicant's attention in an Office Action dated September 8, 2004 in related Application Number 10/015,868 filed on December 12, 2001. Accordingly, pursuant to 37 C.F.R. § 1.97(e)(2), it is hereby stated: no item of this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the best knowledge of the person signing this certification after making a reasonable inquiry, no item of information contained in this information disclosure statement was known to any

individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement. Consequently, as provided in 37 C.F.R. § 197(c), a fee under § 1.17(p) is not required for the filing of this information disclosure. However, if it is determined that an additional fee is required, then authorization is hereby granted to charge Deposit Account 50-1039 any additional fee required. (A duplicate copy of this document is enclosed.)

Date: September 29, 2004

Respectfully submitted,

Renée C. Barthel, Esq.

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PTO/SB/08A (08-03)

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Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete it Known		
Application Number	10/015,346	
Filing Date	December 12, 2001	
First Named Inventor	Michael D. Hooven	
Art Unit	3739	
Examiner Name	Rosiland S. Rollins	
Attorney Docket Number	0320-0015 (HOOV 115)	

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 6,083,150	07-04-2000	Aznoian, et al.	
<del></del>	2	<sup>US-</sup> 6,113,598	09-05-2000	Baker	
	3	<sup>US-</sup> 6,162,220	12-19-2000	Nezhat	
-		US-			
		US-	-		
		US-			

-	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
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	]					l

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.